Approved for use through 07/31/2006. OMB 0651-0032

Fee (\$)

Fee Paid (\$)

Fees Paid (\$)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMR control number Effective on 12/08/2004. Complete if Known bursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/064,783 TRANSMIT Filing Date August 16, 2002 For FY 2005 First Named Inventor Lederman, Lawrence **Examiner Name** Subramanian Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3624 TOTAL AMOUNT OF PAYMENT (\$) 120.00 Attorney Docket No. 02012-40137 METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None. Other (please identify): ✓ Deposit Account Deposit Account Number: 13-3250 Deposit Account Name: Milbank Tweed For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity **Small Entity** Small Entity Fee (\$) Fee (\$) Fees Paid (\$) Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 500 200 150 250 100 200 Design 100 100 50 130 65 Plant 200 100 300 160 150 80 Reissue 300 150 500 250 600 300 200 Provisional 100 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) **Fee Description** Fee (\$) Each claim over 20 (including Reissues) 50 25 200 100 Each independent claim over 3 (including Reissues) 180 Multiple dependent claims 360 **Total Claims** Multiple Dependent Claims Extra Claims Fee Paid (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Indep. Claims Fee Paid (\$) -3 or HP =HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50

SUBMITTED BY			
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Number of each additional 50 or fraction thereof

(round up to a whole number) x

sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

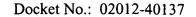
Other (e.g., late filing surcharge): Petition for Extension of Time to File Appeal Brief

Extra Sheets

Non-English Specification, \$130 fee (no small entity discount)

Total Sheets

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LEDERMAN, Lawrence

Serial No.:

10/064,783

Filing Date:

August 16, 2002

Title:

SYSTEM AND METHOD FOR MANAGING CONCENTRATION OF

CORPORATE DEBT

Paper Filed:

APPEAL BRIEF

CERTIFICATE OF EXPRESS MAILING

I hereby certify that on December 23, 2005, the following is being deposited with the United States Postal Service, with sufficient postage, as Express Mail (Label No. EL 968230899 US) in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450.

- 1) Appeal Brief (25 pages);
- 2) Petition for Extension of Time Under 1.136(a) (1 page);
- 3) Return Postcard;
- 4) Certificate of Express Mailing (Label No. EL 968230899 US)
- 5) Check in the amount of \$120.00; and
- 6) Fee Transmittal (1 page plus duplicate);

Michael Kurzer